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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	27866/36170D
First Inventor	Chanchal Sadhu
Title	INHIBITORS OF HUMAN PHOSPHATIDYLINOSITOL 3-KINASE DELTA
Express Mail Label No.	10270 US PTO 10/697912

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 266]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Sheets ]
- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 10/027,591

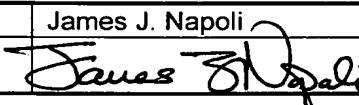
Prior application information: Examiner

S. Patel

Art Unit: 1624

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number:	04743	OR	<input type="checkbox"/> Correspondence address below
Name	MARSHALL, GERSTEIN & BORUN LLP Michael F. Borun		
Address	233 S. Wacker Drive, Suite 6300 Sears Tower		
City	Chicago	State	IL
Country	US	Telephone	(312) 474-6300
Name (Print/Type)	James J. Napoli	Registration No. (Attorney/Agent)	32,361
Signature		Date	October 30, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV323779432US, in an envelope addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: October 30, 2003

Signature:  (Richard Zimmermann)The PTO did not receive the following  
Listed item(s) 

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Chanchal Sadhu
Examiner Name	Not Yet Assigned
Art Unit	N/A
Attorney Docket No.	27866/36170D

## METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  Money Order  Other  None

## Deposit Account:

Deposit Account Number 13-2855

Deposit Account Name MARSHALL, GERSTEIN &amp; BORUN LLP

## The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

## Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00)

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

## (Complete if applicable)

Name (Print/Type)	James J. Napoli	Registration No. (Attorney/Agent)	32,361	Telephone	(312) 474-6614	
Signature	<i>James J. Napoli</i>				Date	October 30, 2003

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV323779432US, in an envelope addressed to: MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: October 30, 2003

Signature: *Richard Zimmermann* (Richard Zimmermann)

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No. 27866/36170C

**PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53**

*Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231*

**EK657816027US**

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Chanchal Sadhu, Kenneth O. Dick, Jennifer Treiberg, C. Gregory Sowell, Edward A. Kesicki, and Amy Oliver

Title: INHIBITORS OF HUMAN PHOSPHATIDYLINOSITOL 3-KINASE DELTA

**1. Type of Application**

- This is a new application for a
  - utility patent.
  - design patent.
- This is a continuation-in-part application of prior application no.

**2. Application Papers Enclosed**

- 1 Title Page
- 238 Pages of Specification (excluding Claims, Abstract, Drawings & Sequence Listing)
- 27 Page(s) of Claims
- 1 Page(s) of Abstract
- 9 Sheet(s) of Drawings (Figs. 1 to 9)
  - Formal
  - Informal
- 12 Page(s) of Sequence Listing (and Statement Under 37 CFR 1.821(f))

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this Patent Application Transmittal and the documents referred to as enclosed therewith are being deposited with the United States Postal Service on **October 19, 2001**, in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service under Mailing Label No. EK657816027US.

  
Richard Zimmermann

**3. Declaration or Oath**

- Enclosed
- Executed by (check all applicable boxes)
  - Inventor(s)
  - Legal representative of inventor(s)  
(37 CFR 1.42 or 1.43)
  - Joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached
  - The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed.  
See Item 5D below for fee.
- Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.

**4. Small Entity Status**

- Applicant claims small entity status. See 37 CFR 1.27.
- A small entity statement is(are) attached.

**5. Additional Papers Enclosed**

- Preliminary Amendment
- Information Disclosure Statement
- Declaration of Biological Deposit
- Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence
- Microfiche computer program
- Associate Power of Attorney
- Verified translation of a non-English patent application
- An assignment of the invention
- Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- Return receipt postcard
- Other

6. Priority Applications Under 35 USC 119

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

- are attached.
- will follow.

COUNTRY	APPLICATION NO.	FILED

7. Filing Fee Calculation (37 CFR 1.16)

A.  Utility Application

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE				\$370.00		\$740.00
TOTAL	15 - 20	= 0	X 9 =	\$	X 18 =	\$
INDEP.	3 - 3	= 0	X 42 =	\$	X 84 =	\$
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 140 =	\$	+ 280 =	\$
Filing Fee:			\$370.00	OR		\$

B.  Design Application (\$165.00/\$330.00) Filing Fee: \$ \_\_\_\_\_

C.  Plant Application (\$255.00/\$510.00) Filing Fee: \$ \_\_\_\_\_

D. Other Fees

Recording Assignment [Fee -- \$40.00 per assignment] \$ \_\_\_\_\_

Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached [Fee -- \$130.00] \$ \_\_\_\_\_

Other \$ \_\_\_\_\_

Total Fees Enclosed \$370.00

**8. Method of Payment of Fees**

Enclosed check in the amount of: \$370.00

Charge Deposit Account No. 13-2855 in the amount of: \$ \_\_\_\_\_  
A copy of this Transmittal is enclosed.

Not enclosed

**9. Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

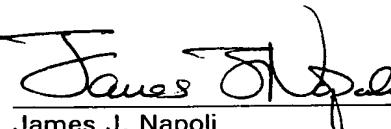
**10. Correspondence Address**

Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6357  
(312) 474-6300  
(312) 474-0448 (Telefacsimile)

By:

  
\_\_\_\_\_  
James J. Napoli  
Reg. No. 32,361

October 19, 2001

New Patent Application for:

Chanchal Sadhu, Kenneth O. Dick,  
Jennifer Treiberg, C. Gregory Sowell,  
Edward A. Kesicki, and Amy Oliver

For: INHIBITORS OF HUMAN PHOSPHATIDYLINOSITOL 3-KINASE  
DELTA

Mailing Certification for:

Application being filed without  
executed Declaration

Attorney Docket No. 27866/36170C

"EXPRESS MAIL" mailing label No. EK657816027US

Date of Deposit:

October 19, 2001

I hereby certify that this paper (or fee) is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR §1.10 on the date indicated above and is addressed to the Commissioner for Patents, Washington, D.C., 20231.

  
Richard Zimmermann